

Form 210A (10/06)

United States Bankruptcy Court

SOUTHERN District Of NEW YORK

In re LEHMAN BROTHERS HOLDINGS, INC.,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the
transfer, other than for security, of the claim referenced in this evidence and notice.

CVI GVF (Lux) Master S.a.r.l.
Name of Transferee

JQS INVESTMENT ADVISORS S.A.
Name of Transferor

Name and Address where notices to transferee
should be sent: c/o CarVal Investors UK Limited
Knowle Hill Park
Fairmile Lane
Cobham
Surrey KT11 2PD
United Kingdom

Court Claim # (if known): 4623
Amount of Claim: \$5,296,538.70
Date Claim Filed: 05/28/2009

Phone: _____
Last Four Digits of Acct #: _____

Phone: _____
Last Four Digits of Acct. #: _____

Name and Address where transferee payments
should be sent (if different from above):

Phone: _____
Last Four Digits of Acct #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the
best of my knowledge and belief.

By: /s/ David Short
Transferee/Transferee's Agent

Date: October 7, 2010

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EXHIBIT D

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:

Ch-11 LEHMAN BROTHERS HOLDINGS, INC.

Debtor

Case No. 08-13555

Chapter 11

**NOTICE OF TRANSFER OF CLAIM
PURSUANT TO RULE 3001(e)**

PLEASE TAKE NOTICE that any and all claims of JQS Investment Advisors S.A. ("Assignor") that are scheduled by the Debtor(s) and or filed as an original or amended Proof of Claim against the Debtor(s), including but not limited to the following:

<u>Proof of Claim Amount</u>	<u>Proof of Claim No.</u>
\$5,296,538.70	4623

have been transferred and assigned to C.V.I. G.V.F. (Lux) Master S.a.r.l. ("Assignee"). The signature of Assignor on this document is evidence of the transfer of the claims and all rights thereto.

Assignor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Bankruptcy Rules, and stipulates that an order may be entered recognizing this Assignment as an unconditional assignment and the Assignee herein as the valid owner of the Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to the Assignee.

ASSIGNEE: C.V.I. G.V.F. (Lux) Master S.a.r.l.

Address: c/o CarVal Investors UK Limited
Knowle Hill Park
Fairmile Lane
Cobham
Surrey KT11 2PD
United Kingdom
BY CARVAL INVESTORS UK LIMITED

Signature: _____

Name: _____

Title: _____

Date: _____

DAVID SHORT
OPERATIONS MANAGER

ASSIGNOR: JQS Investment Advisors S.A.

Address: c/o Phillips Nizer LLP
Attention: Peter Harutunian
666 Fifth Avenue
New York, NY 10103

Signature: _____

Name: _____

Title: _____

Date: _____

D.J. Maple
DAVID JOHN MAPLEY
DIRECTOR
9/9/10

Lehman Brothers Holdings Inc. (Chapter 11)
Change Client[Client Home](#) [Claims](#) [Docket](#) [Key Documents](#)[Home](#) » [Search Claims](#) [Bookmark this Page](#)

Search Claims

Criteria

Claim Number

4623

Creditor Name

Name Starts With

Scope

Claims and schedules

Schedule Number**Amount**

Total Claim Value

Equals

Debtor**Claim Date Range**

to

Order By: [Creditor Name](#)Results Per Page: [50](#)[Reset](#)[Search](#)

Results

[Expand All](#)**[1] Page 1 of 1 - 01 total items**

Claim #	Schedule #	Creditor Name	Date	Total Claim Value
4623		JQS INVESTMENT ADVISORS S.A.	5/28/2009	\$5,296,538.70 Image
		C/O PHILLIPS NIZER LLP ATTENTION: PETER HARUTUNIAN 666 FIFTH AVENUE NEW YORK, NY 10103	Claimed Unsecured:	\$5,296,538.70
		Debtor: Lehman Brothers Holdings Inc.		

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